

Atty Docket No. 018781-003310US

PTO FAX NO.: (703) 872-9306

ATTENTION: Examiner Jason H. Johnsen

Group Art Unit 1623

OFFICIAL COMMUNICATION
FOR THE PERSONAL ATTENTION OF
EXAMINER JASON H. JOHNSEN

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CERTIFICATION OF FACSIMILE TRANSMISSION


I hereby certify that the following documents in re Application of Leping Li, et al., Application No. 09/479,315, filed January 6, 2000 for LXR MODULATORS are being facsimile transmitted to the Patent and Trademark Office on the date shown below.

Documents Attached

1. Transmittal Form (1 page)
2. Communication to Examiner (2 pages)
3. Copy of Form PTO-1449, date stamped January 16, 2001 (1 page)

Number of pages being transmitted, including this page: 5

Dated: January 24, 2005


Jose Luna

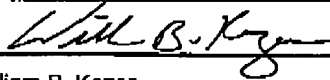
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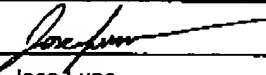
TOWNSEND and TOWNSEND and CREW LLP
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Telephone: 925-472-5000
Fax: 925-472-8895

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| | | | |
|--|---|------------------------|------------------|
| TRANSMITTAL FORM <small>(to be used for all correspondence after initial filing)</small> | | Application Number | 09/479,315 |
| | | Filing Date | January 6, 2000 |
| | | First Named Inventor | Li, Leping |
| | | Art Unit | 1623 |
| | | Examiner Name | Jason H. Johnsen |
| Total Number of Pages in This Submission | 4 | Attorney Docket Number | 018781-003310US |

| ENCLOSURES (Check all that apply) | | |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Communication to Examiner (2 pages) Copy of Form PTO-1449 (1 page) |
| Remarks: The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. | | |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT | | | |
|--|---|----------|--------|
| Firm Name | Townsend and Townsend and Crew LLP | | |
| Signature |  | | |
| Printed name | William B. Kezer | | |
| Date | January 24, 2005 | Reg. No. | 37,369 |

| CERTIFICATE OF TRANSMISSION/MAILING | | | |
|--|---|------|------------------|
| I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office, Fax No. (703) 306-5995 on January 24, 2005. | | | |
| Signature |  | | |
| Typed or printed name | Jose Luna | Date | January 24, 2005 |

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I hereby certify that this correspondence is being facsimile
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PATENT
Docket No.: 018781-003310US
Client Ref. No.: T99-002-1US

TOWNSEND and TOWNSEND and CREW LLP

By: 

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JAN 24 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Leping Li, et al.

Application No.: 09/479,315

Filed: January 6, 2000

For: LXR MODULATORS

Examiner: Jason H. Johnsen

Art Unit: 1623

COMMUNICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants are in receipt of the Notice of Allowance and Fee(s) Due mailed by the Office on January 11, 2005. We respectfully note that the Information Disclosure Statement filed on January 9, 2001 has not yet been completely initialed by the Examiner. Specifically, references AV-AY do not appear to have been considered. Enclosed for the Examiner's convenience is a copy of the form PTO-1449 (date stamped January 16, 2001 by the Office) that was received with the Office Action of August 20, 2003.

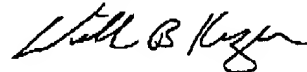
If the Examiner is in possession of the art, Applicants respectfully request prompt consideration of the aforementioned documents. However, if the Examiner

Leping Li, et al.
Application No.: 09/479,315
Page 2

PATENT

requires additional copies of these references, Applicants will gladly forward them for consideration.

Respectfully submitted,



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|---|---|----------|--|-------|-----------------------------|------------------------------|
| YO-1449 (Modified) | | | Attorney Docket No.: 18781-003310US | | Application No.: 09/479,315 | |
| OFFICE OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) | | | Applicant: Leping Li, Julio Cesar Medina, Bei Shan | | | |
| | | | Filing Date: January 6, 2000 | | Group: | |
| Reference Designation | | | U.S. PATENT DOCUMENTS | | | Page 1 |
| Examiner Initial | Document No. | Date | Name | Class | Sub-class | Filing Date (If Appropriate) |
| H.C. AA | 4,204,002 | 05/20/80 | Hubele | 424 | | |
| AB | | | | | | |
| | | | FOREIGN PATENT DOCUMENTS | | | |
| | Document No. | Date | Country | Class | Sub-class | Translation (Yes/No) |
| H.C. AC | WO 00/54759 | 09/21/00 | PCT | A61K | 31/00 | |
| H.C. AD | WO 00/26186 | 05/11/00 | PCT | C07D | 207/14 | |
| H.C. AE | 44 38 020 A1 | 05/02/96 | Germany | C07 | 233/41 | |
| H.C. AF | 44 37 999 A1 | 05/02/96 | Germany | C07 | 233/23 | |
| H.C. AG | WO 99/44987 | 09/10/99 | PCT | C07C | 279/12 | |
| H.C. AH | WO 99/40064 | 08/12/99 | PCT | C07C | 311/46 | |
| H.C. AI | WO 99/06382 | 02/11/99 | PCT | C07D | 295/10 | |
| AJ | WO 97/31637 | 09/07/97 | PCT | A61K | 31/495 | |
| AK | WO 94/21611 | 09/29/94 | PCT | C07D | 213/75 | |
| AL | 0 019 745 A1 | 12/10/80 | Europe | A01N | 37/22 | |
| AM | 0 023 669 A1 | 02/11/81 | Europe | C07C | 103/737 | |
| AN | 0 013 360 A2 | 07/23/80 | Europe | C07D | 249/08 | |
| AO | 0 012 428 A1 | 06/25/80 | Europe | C07C | 125/08 | |
| AP | 26 25 227 | 12/23/76 | Germany | C07D | 231/40 | |
| AQ | 26 25 242 | 12/23/76 | Germany | C07D | 307/66 | |
| AR | 25 15 113 | 10/23/75 | Germany | C07C | 103/46 | |
| AS | 07118215 | 07/25/95 | Japan | | | |
| AT | 0756392 | 07/31/95 | Japan | | | |
| H.C. AU | 6323822 | 02/01/88 | Japan | | | |
| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) | | | | | | |
| AV | Athelstan L. J. Beckwith, <i>et al</i> : "Tandem Radical Translocation and Homolytic Aromatic Substitution: a Convenient and Efficient Route to Oxindoles" <i>J. Chem. Soc. Chem. Commun.</i> ; pp. 977-978; (1995) | | | | | |
| AW | Sylvie Le Blanc, <i>et al</i> : "New Access to Spirane β -Lactams" <i>Tetrahedron Lett</i> ; 33(15) pp. 1993-1996 (1992) | | | | | |
| AX | Masazumi Ikeda, <i>et al</i> : "Photochemistry of 2-(N-Acyl-N-alkylamino)cyclohex-2-enones: Formation of Spiro- β -lactams" <i>Chem. Pharm. Bull</i> ; 34(12) pp 4997-5004 (1986) | | | | | |
| AY | Masazumi Ikeda, <i>et al</i> : "Photochemical Synthesis of Spiro- β -lactams" <i>J. Chem. Soc. Chem. Commun</i> ; pp 758-759 (1984) | | | | | |
| AZ | | | | | | |
| EXAMINER | DATE CONSIDERED | | 5/13/05 | | | |

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.